



FORMALITIES LETTER

OC000000005149036



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER

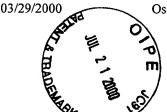
FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/537,863

Wenderoth Lind & Ponack LLP Suite 800 2033 K Street NW Washington, DC 20006



Osamu Funahashi

2000 0402A

Date Mailed: 05/31/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- Total additional claim fee(s) for this application is \$72.
 - \$72 for 4 total claims over 20.
- · The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English.
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.
- The balance due by applicant is \$ 332.

A copy of this notice MUST be returned with the rep

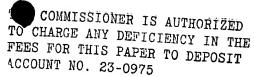
Customer Service Center
Initial Patent Examination Division (703) 308-1202

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PART 2 - COPY TO BE RETURNED WITH RESENSE

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Osamu FUNAHASHI et al.

Serial No. 09/537,863

Filed March 29, 2000



Attn: BOX MISSING PARTS

Docket No. 2000 0402A

SPEAKER APPARATUS AND SOUND REPRODUCTION APPARATUS

REPLY TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Assistant Commissioner for Patents, Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts of Application dated May 31, 2000, submitted herewith is the required Declaration and Power of Attorney and the English translation of the application.

Also enclosed are the PTO surcharge of \$260.00, required by 37 CFR 1.16(e), and a copy of the Notice to File Missing Parts.

Favorable action on the merits is now requested.

Respectfully submitted,

Osamu FUNAHASHI et al.

By: oncice self

Charles R. Watts

Registration No. 33,142

Attorney for Applicants

CRW/asd WENDEROTH, LIND & PONACK, L.L.P. Washington, D.C. 20006 Telephone (202) 721-8200 Facsimile (202) 721-8250 July 21, 2000 OIPE JUL 2 1 2000 US

THE MMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT COUNT NO. 23-0975

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Osamu FUNAHASHI et al.

Serial No. 09/537,863

Attn: BOX MISSING PARTS

Filed March 29, 2000

Attorney Docket No. 2000 0402A

SPEAKER APPARATUS AND SOUND RE RODUCTION APPARATUS

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents, Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$1,142.00 to cover Patent Office fees relating to filing the following attached papers:

Other: English Translation \$130.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Osamu FUNAHASHI et al.

By on natto

Charles R. Watts Registration No. 33,142 Attorney for Applicants

CRW/asd WENDEROTH, LIND & PONACK, L.L.P. 2033 K St., N.W., Suite 800 Washington, D.C. 20006 Telephone (202) 721-8200 July 21 2000

[Check No. 3904]

2000 0402A

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Osamu FUNAHASHI et al.

Serial No. 09/537,863

Filed March 29, 2000

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Attn: BOX MISSING PARTS

Docket No. 2000_0402A

SPEAKER APPARATUS AND SOUND REPRODUCTION APPARATUS

ADDITIONAL CLAIMS FEE TRANSMITTAL LETTER

Assistant Commissioner for Patents, Washington, DC 20231

Sir:

Transmitted herewith is an Amendment in the above-identified application. Additional fees required as a result of this Amendment are calculated as follows:

	SMALL ENTITY		LARGE ENTITY	
Total Claims exceeding 20 (not already paid for): 10 x Indep. Claims exceeding 3	(\$ 9 = \$)	or	(\$18 = \$180.00)	
(not already paid for): 9 x [] Multiple Dep. Claim(s) (if there previously	(\$39 = \$)	or	(\$78 = \$702.00)	
were none): +	(\$130 = \$)	or	(\$260 = \$)	
Total Additional Fee =	<u>\$</u>	or	<u>\$882.00</u>	

[]	Small entity status of this application is established by the verified statement under 37
	C.F.R. 1.9 and 1.27 which

[] is enclosed or

[] has been previously submitted.

- [X] A check in the amount of \$882.00 is enclosed.
- Please charge Deposit Account No. 23-0975 the amount of \$____ to cover additional fee. The Commissioner is authorized to charge any deficiency associated with this communication or to credit any overpayment to the Deposit Account. The original and two copies of this document are enclosed.

Respectfully submitted,

Osamu FUNAHASHI et al.

By <u>Charles R. Watts</u>

Registration No. 33,142 Attorney for Applicants

CRW/asd Washington, D.C. Telephone (202) 721-8200 Facsimile (202) 721-8250 July 21, 2000

COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT ACCOUNT NO. 23-0975

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Osamu FUNAHASHI et al.

Serial No. 09/537,863

Filed March 29, 2000

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Attorney Docket No. 2000_0402A

SPEAKER APPARATUS AND SOUND REPRODUCTION APPARATUS

PATENT OFFICE FEE TRANSMITTAL FORM

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Sir:

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Other: English Translation \$130.00

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Respectfully submitted,

Osamu FUNAHASHI et al.

By Charles R. Watts

Registration No. 33,142

Attorney for Applicants

CRW/asd WENDEROTH, LIND & PONACK, L.L.P. 2033 K St., N.W., Suite 800 Washington, D.C. 20006 Telephone (202) 721-8200 July 21 2000

[Check No. 3901-

2000 0402A



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: O. Funahashi et al.

: Art Unit

Serial No.:

: Examiner

Filed: March 29, 2000

rch 29, 2000

FOR: SPEAKER APPARATUS AND SOUND REPRODUCTION APPARATUS

VERIFICATION OF A TRANSLATION

Assistant Commissioner for Patents Washington, D.C. 20231 SIR:

I, the below named translator, hereby declare that:

- 1. My name and post office address are as stated below.
- 2. That I am knowledgeable in the English language and in the language of the attached document, and I believe the attached English translation to be a true and complete translation of this document.
- 3. The document for which the attached English translation is being submitted is a patent application on an invention entitled SPEAKER APPARATUS AND SOUND REPRODUCTION APPARATUS

4.	The foreign language	e document	was filed	d in the	U.S.
Patent and T	rademark Office on	March 29	, 2000		

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: _	May	12,	2000	

Keiichiro Komatsu

Full name of the Translator

K. Komate

Signature of the Translator

c/o Matsushita Technical Information Service Co., Ltd., Osaka Business Park, National Tower, 11th Floor, 2-1-61, Shiromi, Chuo-ku, Osaka-shi, Osaka, 540 Japan

Post Office Address

Encl.: copy of originally filed specification
Translation